

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )

Hartig, et al. )

Serial No. 10/071,561 )

Filed: 8 February 2002 )

For: EDGE TREATMENTS FOR )  
COATED SUBSTRATES )

Hon. Commissioner of Patents and Trademarks  
Box Missing Parts  
Washington, D.C. 20231

Art Unit: 3635

Atty. Docket 44046.203.180.2

RECEIVED  
AUG 15 2002  
OFFICE OF PETITIONS

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal service as First Class Mail, postage prepaid, and in an envelope addressed to the Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

29 July 2002

*Madeline A. Thompson*

29 July 2002

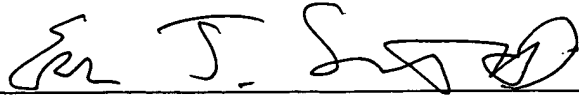
Date of Signature

CHANGE OF STATUS

Pursuant to the provisions of 37 CFR 1.28(b), Applicants herewith provide notice that the small entity status is not appropriate for fees due on or after the date identified below.

Applicants filed the present application with a Large Entity Utility Patent Application Transmittal form, and therefore request correction of Applicants' status to that of a large entity applicant. Enclosed with the Applicants Response to Notice to File Missing Parts, are two checks in the total amount of \$1,221.00 to cover the remainder of the filing fees that were due at the time the application was filed. The Commissioner is hereby authorized to charge any fees which may be required with this submission, or credit any overpayment, to Deposit Account No. 061910.

Dated: 29 July 2002



Eric J. Snustad  
FREDRIKSON & BYRON, P.A.  
4000 Pillsbury Center  
200 South Sixth Street  
Minneapolis, MN 55402-1425  
(612) 492-7151  
Registration No. 45,162  
Customer No. 022859

2678958

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

(S) DPA/H  
Docket No.  
44046.203.180.2

In Re Application Of: Hartig et al.

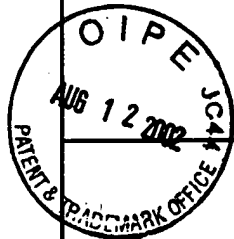
Serial No.  
10/071,561

Filing Date  
8 February 2002

Examiner

Group Art Unit  
3635

Invention: **EDGE TREATMENTS FOR COATED SUBSTRATES**



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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
27 March 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Thirteen (13) sheets of drawings  
Return Postcard

08/14/2002 CCHAU1 00000025 10071561

05 FC:116

400.00 DP

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
44046.203.180.2

In Re Application Of: Hartig et al.

Serial No.  
10/071,561

Filing Date  
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Examiner

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3635

Invention: **EDGE TREATMENTS FOR COATED SUBSTRATES**

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☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$370.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 7 \$168.00

☒ Total number of claims = 37 \$153.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$821.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☒ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: 27 May 2002    until: 27 July 2002  
*Date* *Date*

Total time extension fees \$400.00

Total fees due \$1,221.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
44046.203.180.2

In Re Application Of: **Hartig et al.**

Serial No.  
10/071,561

Filing Date  
8 February 2002

Examiner

Group Art Unit  
3635

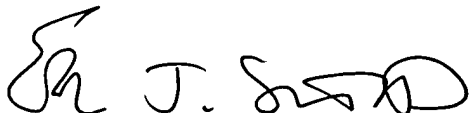
Invention: **EDGE TREATMENTS FOR COATED SUBSTRATES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,221.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 061910  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 061910  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: 29 July 2002

Eric J. Snustad  
FREDRIKSON & BYRON, P.A.  
4000 Pillsbury Center  
200 South Sixth Street  
Minneapolis, MN 55402-1425  
Atty. Reg. No. 45,120  
(612) 492-7151  
Customer No. 022859

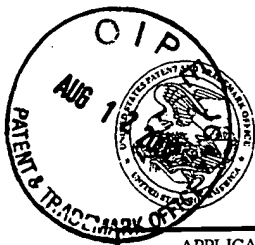
I certify that this document and fee is being deposited on 29 July 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Madelyn E. Thompson

Typed or Printed Name of Person Mailing Correspondence

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,561	02/08/2002	Klaus Hartig	44046.203.180.2

CONFIRMATION NO. 9865

## FORMALITIES LETTER



\*OC000000007728303\*

022859  
FREDRIKSON & BYRON P.A.  
1100 INTERNATIONAL CENTRE  
900 SECOND AVENUE SOUTH  
MINNEAPOLIS, MN 55402

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

RECEIVED  
AUG 15 2002  
OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

888888  
740.00  
306.00  
336.00  
130.00

Adjustment date: 08/14/2002 CCHAU1  
02/14/2002 GGEEREG1 00000037 10071561  
01 FC:201 -370.00 OP  
02 FC:203 -153.00 OP  
03 FC:202 -168.00 OP

*A copy of this notice **MUST** be returned with the reply.*

00004025 10071561

08/14/2002 CCHAU1

01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105

M-WAIKE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE